a segregation coefficient = 1. Also, significant doping levels of Cr3+ ions can be achieved in the Al system while maintaining high crystal quality. In this regard, the solution series LiSr_{1-x}Ba_xAlF₆ should be examined for crystal quality as a function of the level of Ba substitution and to determine the maximum value of x consistent with formation of the colquiriite structure type since LiBaAlF₆ crystallizes in a different structure.8 The presence of Ba atoms should afford larger twist angles and higher cross sections for Cr3+ optical emission.

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Registry No. LiCaAlF₆, 35362-46-0; LiCaGaF₆, 35361-72-9: LiCaCrF₆, 29467-85-4; LiSrAl_{0.59}Cr_{0.41}F₆, 141017-13-2; LiSrGaF₆, 35361-73-0; LiSrCrF₆, 29661-32-3.

Supplementary Material Available: Listing of anisotropic thermal parameters (Table S-1, 2 pages); listing of calculated and observed structure factors (Table S-2, 14 pages). Ordering information is given on any current masthead page.

Thermodynamic Analysis of the Thermal Nitridation of Aluminum Oxide by Ammonia and Methane

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Thermodynamic calculations were performed using the SOLGASMIX-PV computer program to determine the possibility of converting aluminum oxide to aluminum nitride using alumina, ammonia, and methane. Conversion diagrams were constructed for the Al₂O₃-NH₃-CH₄ system over a range of reaction conditions such as temperature, total system pressure, and reagent concentrations. The influence of excess hydrogen, based on thermodynamic considerations alone, was also investigated. Formation of a pure AlN phase was predicted in the range 1800-2000 K and total system pressures of 0.1-1.0 atm. Low pressure and excess hydrogen increase the conversion efficiencies of aluminum and nitrogen, thus favoring the formation of pure AlN.

Introduction

In recent years, there has been increasing interest in non-oxide ceramics such as silicon nitride and silicon carbide which possess high-temperature strength and corrosion resistance. Among these, aluminum nitride is currently of great technological importance because of its unique physical properties such as high thermal conductivity (170-320 W/(m K)), close to that of metals¹⁻⁶ and over 10 times that of Al₂O₃, low coefficient of thermal expansion (4-5 μ m/(m °C)) comparable to silicon and SiC, 1,7 high electrical resistivity (4 × 10 11 Ω cm) and high mechanical strength comparable to alumina ceramics. It is a covalent material with a wurtzite-type crystal structure,8 which is difficult to sinter. Its elastic,9 mechanical,10 and electrical¹¹ properties and its reactions with metals^{12,13} have been described.¹⁴ It has extreme hardness (about 3500 kgf mm $^{-2}$) and a high melting point (2400 °C). AlN has superior physical properties over those of alumina ceramics. It has a low dielectric constant and loss and good mechanical properties and is nontoxic.15-17 AlN is a semiconductor with a wide bandgap ($E_g = 6.2 \text{ eV}$) with a variety of potential applications including electronic devices for high-temperature operation, surface acoustic wave devices, and short-wavelength optoelectronics.

Aluminum nitride powders can be synthesized using a variety of reactions. One of the more common methods for commerical powders is carbothermal reduction and nitridation. ^{18–21} This involves intimate mixing of alumina

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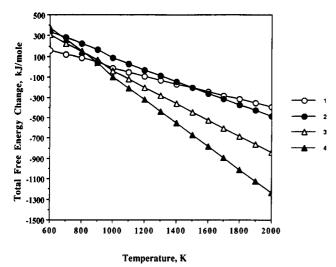


Figure 1. Gibbs free energy change of a few reactions involving the conversion of aluminum oxide to aluminum nitride (see egs 1-4).

with a source of carbon and heating to above 1100 °C in a nitrogen-containing atmosphere. The most common source of carbon is elemental carbon. Direct nitridation of metallic aluminum with either nitrogen or ammonia to form aluminum nitride powders has also been reported. 22-25 This paper addresses the use of methane as a reducing agent in the nitridation of alumina.

An initial step in determining the process conditions, e.g., reagent concentrations, temperature, and pressure, which would lead to the conversion of Al_2O_3 to AlN is to perform thermodynamic calculations. A conversion diagram can then be constructed for the reaction system so that the positions of the phase boundaries can be accurately determined. The thermodynamic calculations also give the conversion efficiencies for the solid phase, namely, aluminum oxide, as well as the reactant gases, ammonia, and methane.

Thermodynamic Calculations

Several reactions for forming AlN from Al₂O₃ were first considered. A calculation for the temperature range 600-2000 K was then carried out based solely on free energy changes for these reactions:

$$Al_2O_3(s) + 2NH_3(g) \rightarrow 2AlN(s) + 3H_2O(g)$$
 (1)

$$\mathrm{Al_2O_3(s)} + \mathrm{3C(s)} + \mathrm{N_2(g)} \rightarrow \mathrm{2AlN(s)} + \mathrm{3CO(g)} \quad (2)$$

 $Al_2O_3(s) + 3C(s) + 2NH_3(g) \rightarrow$

$$2AlN(s) + 3H_2(g) + 3CO(g)$$
 (3)

$$Al_2O_3(s) + 3CH_4(g) + 2NH_3(g) \rightarrow 2AlN(s) + 3CO(g) + 9H_2(g)$$
 (4)

The changes in free energy for these systems were solved graphically (Figure 1), and they represent straight line equations. Free energy and temperature are variables, and the coefficients of the gradients of the straight lines are related to entropy. The most feasible reaction of the four considered is reaction 4, in which the net change in free energy obtained is negative at a temperature lower than

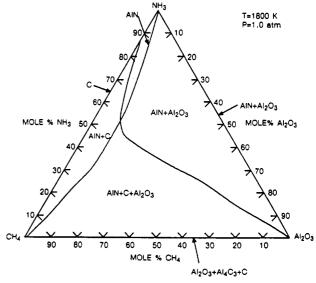


Figure 2. Conversion diagram for the reactant system Al₂O₃ (s)-CH₄ (g)-NH₃ (g) at 1800 K and 1.0 atm showing the region where it is predicted, from only thermodynamic considerations, that pure AlN phase may be formed.

those of the other three reactions as shown in Figure 1. Since reaction 4 is the most feasible reaction for the conversion of alumina to aluminum nitride, the thermodynamic calculations presented in this paper were performed for the Al-N-C-H system. Al₂O₃ and NH₃ were selected as the sources of AlN as they are readily available and inexpensive. CH4 was selected as the reducing agent. Thus, the overall reaction investigated in this paper is for the Al₂O₃-NH₃-CH₄ system, viz.

$$Al_2O_3(s) + 3CH_4(g) + 2NH_3(g) \rightarrow 2AlN(s) + 3CO(g) + 9H_2(g)$$
 (5)

Computerized thermodynamic calculations were carried out using the SOLGASMIX-PV program²⁶ which is a modified version of the SOLGASMIX program.²⁷ Table I lists the thermodynamic data at 1500, 1800, and 2000 K for the possible gaseous, liquid, and solid species for the Al-N-C-H system. The thermodynamic data for the various species were taken from the JANAF tables.²⁸ The calculations predict the equilibrium composition of the solid-gas system based on the minimization of the free energy of all the possible gaseous, liquid, and solid species. Numerous chemical equilibrium thermodynamic analyses of this type have already proven useful in understanding chemical processes. 29-32

The calculations were performed for combinations of $Al_2O_3 + NH_3 + CH_4$ over the range 0-100 mol % concentrations of the reagents. Reagent compositions were varied by 5 mol % increments. The positions of the phase boundaries were more precisely determined by subsequent calculations using 1 mol % increments. The calculations were again repeated at a pressure of 0.1 atm and with H_2 added for each set of conditions (temperature, pressure,

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Table I. Enthalpy and Entropy Data at 1500, 1800, and 2000 K Used in the SOLGASMIX-PV Calculations

	1500 K		1800 K		2000 K	
species	$\Delta H_{\rm f}^{\circ}$, kJ/mol	S _f °, J/(mol K)	$\Delta H_{\rm f}^{\circ}$, kJ/mol	S _f °, J/(mol K)	$\Delta H_{\rm f}^{\circ}$, kJ/mol	S _f °, J/(mol K)
Al(g)	308.139	-198.419	304.856	-202.212	302.665	-204.404
$Al_2(g)$	443.573	-300.645	436.634	-308.008	432.003	-312.259
AlOH(g)	-208.836	-287.000	-212.123	-297.153	-214.215	-303.158
AlN(g)	500.905	-286.361	497.471	-293.275	495.166	-297.291
AlO(g)	43.893	-275. 46 5	41.605	-283.221 -283.221	40.405	
AIO (g)		-344.642	-104.875	-355.858		-287.909
$AlO_2(g)$	-102.760			_999,090	-106.365	-362.370
$Al_2O(g)$	-187.505	-346.357	-193.581	-357.608	-197.658	-364.126
$Al_2O_2(g)$	-433.154	-406.430	-438.549	-421.459	-442.229	-430.162
$H_2N(g)$	184.182	-527.675	183.925	-266.728	183.891	-272.168
$H_3N(g)$	-55.954	-271.442	-55.439	-283.962	-54.833	-291.525
$N_3(g)$	421.156	-309.238	423.252	-320.148	424.602	-326.515
CO(g)	-115.229	-248.426	-117.384	-245.912	-118.896	-258.714
$C_1(g)$	718.415	-191.710	717.373	-195.509	716.577	-197.713
$C_2(g)$	835.528	-259.869	832.266	-266.750	830.000	-270.801
$C_3(g)$	802.255	-304.372	7 9 5.226	-313.394	790.421	-318.731
C ₄ (g) CH(g)	964.753	-338.748	960.404	-353.832	957.248	-362.660
CH(g)	591.314	-233.318	590.329	-240.149	589.723	-244.238
$CH_2(g)$	379.299	-260.740	377.835	-270.280	376.844	-275.953
$CH_3(g)$	134.662	-277.327	133.554	-290.082	132.917	-297.727
$CH_4(g)$	-92.553	-279.763	-92.797	-296.039	-92.709	-305.853
$C_2H(g)$	470.837	-383.188	468.346	-292.535	466.681	-298.689
$C_2H_2(g)$	185.216	-298.567	174.353	-312.829	166.980	-321.335
$C_0H_{\lambda}(g)$	35.456	-341.877	35.005	-362.460	34.894	-374.791
$C_3O_2(g)$ $C_2O(g)$	-92.921	-417.455	-94.192	-436.706	-95.209	-447.989
$C_0O(g)$	285.650	-317.732	284.143	-329.043	283.063	-335.695
$CO_2(g)$	-395.668	-292.199	-396.311	-302.968	-396.784	-309.293
H(g)	224.836	-148.229	226.132	-152.088	226.898	-154.278
$H_2(g)$	0.000	-178.846	0.000	-171.790	0.000	-188.418
$C_5(g)$	973.524	-381.756	968.885	-401.096	965.493	-412.421
N(g)	478.462	-186.882	497.411	-190.672	479.990	-192.863
$N_2(g)$	0.000	-241.880	0.000	-248.304	0.000	-252.074
NO(g)	90.518	-262.703	90.522	-269.282	90.494	-273.128
$NO_2(g)$	32.603	-315.715	32.940	-325.861	33.111	-331.788
$NO_3(g)$	77.155	-361.631	79.411	-376.308	80.809	-384.856
$N_2O(g)$	85.902	-299.825	87.488	-310.577	88.527	
N ₂ O(g)			01,400		00.027	-216.871
$N_2O_3(g)$ $N_2O_4(g)$	90.173 24.097	-444.794 474.007	92.854 29.550	-462.941	94.557	-473.537
N ₂ O ₄ (g)		-474.997 550.404		-498.193	33.110	-511.743
$N_2O_5(g)$	32.741	-553.434	38.487	-580.176	42.064	-595.711
NH(g)	376.420	-230.275	376.488	-236.528	376.551	-240.233
$N_2H_2(g)$	207.275	-303.767	208.504	-316.936	209.457	-324.781
$N_2H_4(g)$	91.270	-369.884	94.871	-390.490	97.538	-402.810
O(g)	254.171	-195.254	254.884	-199.053	255.299	-201.247
$O_2(g)$	0.000	-258.068	0.000	-264.796	0.000	-268.748
$O_3(g)$	144.750	-319.469	145.419	-330.349	145.784	-336.469
$N_2C_4(g)$	539.082	-565.514	538.075	-489.052	537.186	-502.844
NC(g)	259.742	-253.732	251.791	-260.333	246.516	-264.251
CNO(g)	160.418	-313.861	160.165	-324.715	159.895	-331.057
$CN_2(g)$	585.822	-312.746	585.113	-323.351	584.786	-3 29. 573
$H_2O(g)$	-250.265	-250.620	-251.138	-259.451	-251.575	-264.769
AlC(g)	663.291	-281.128	657.830	-288.030	654.110	-292.038
AlN(s)	-328.856	-9 0.137	-328.425	-99.399	-328.119	-104.290
$Al_2O_3(1)$	-1623.274	-255.138	-1601.197	-290.228	-1586.658	-310.507
$Al_2O_3(s)$	-1662.040	-242.229	-1655.675	-267.762	-1651.174	-282.751
Al(l)	0.000	-86.273	0.000	-92.062	0.000	-95.408
$Al_4C_3(s)$	-270.825	-346.577	-274.774	-380.621	-277.278	-400.550
C(s)	0.000	-33.718	0.000	-38.149	0.000	-40.771

and reagent concentrations) previously evaluated. Four sets of calculations were performed, each for 1500 and 2000 K, namely:

- (a) at total gas pressure of 1.0 atm
- (b) at total gas pressure of 0.1 atm
- (c) at total gas pressure of 1.0 atm with excess hydrogen added
- (d) at total gas pressure of 0.1 atm with excess hydrogen added

Results and Discussion

(A) Conversion/Phase Diagrams. Conversion diagrams, such as the one shown in Figure 2, can be constructed from the results of the thermodynamic calculations. These diagrams show the equilibrium solid phase(s) as a function of reagent concentrations for a specific tem-

perature and total gas pressure for the reagent system $\mathrm{NH_3\text{-}Al_2O_3\text{-}CH_4}$. Conversion diagrams at 1500 K predicted that pure AlN could not be formed at the conditions investigated. Thus emphasis was placed on higher temperature calculations. The conversion diagram in Figure 2 is for a temperature of 1800 K and 1 atm total gas pressure. Thermodynamic calculations predict that the conversion diagram consists of four regions. On the left-hand side is a two-phase region marked AlN + C. In this region complete conversion of $\mathrm{Al_2O_3}$ to AlN occurs with co-deposition of C from the thermal decomposition/oxidation of methane. On the right-hand side is a two-phase region, marked AlN + $\mathrm{Al_2O_3}$, in which incomplete conversion of the $\mathrm{Al_2O_3}$ to AlN takes place.

Sandwiched between these two regions is the area of interest. Within this area, conversion of Al₂O₃ to AlN

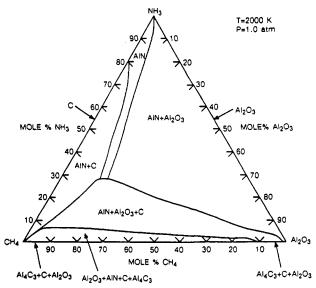


Figure 3. Conversion diagram obtained at higher temperature (2000 K, 1.0 atm) increases the area of the pure AlN phase region.

proceeds to completion and pure AlN is formed. Reagent composition for this one-phase region includes 0-10 mol % Al_2O_3 , 50-100 mol % NH_3 , and 0-40 mol % CH_4 . The fourth region is a three-phase region marked AlN + C + Al₂O₃ found at the bottom half of the phase diagram.

At the corners of the triangle at 100 mol % NH3 and 100 mol % CH₄, no solid phase is present and only gases (NH₃ and CH₄, respectively) are present at equilibrium. At 100 mol % Al₂O₃, the only solid phase present is Al₂O₃. No gases are present at equilibrium. For the line joining the two corners of 100 mol % NH₃ and 100 mol % CH₄, the only solid phase present is C. Two solid phases are predicted, namely, AlN and Al₂O₃, along the line joining 100 mol % Al₂O₃ and 100 mol % NH₃, while it is predicted that three phases $(C + Al_2O_3 + Al_4C_3)$ exist at equilibrium along the line joining 100 mol % CH₄ and 100 mol % Al₂O₃.

To determine the effect of reaction conditions such as temperature, total system pressure, and hydrogen concentration on the conversion of Al_2O_3 to AlN, numerous additional conversion diagrams were constructed. Several of those diagrams are presented in this paper to illustrate the effects of changes in the conversion process.

The influence of higher temperature (2000 K) at 1.0 atm results in an increase in area of the AlN region over that at 1800 K as shown in Figure 3. The length of the pure AlN region is increased. Thus the minimum concentration of ammonia required in the reagent composition for complete conversion of Al₂O₃ to AlN is reduced as the temperature increases from 1800 to 2000 K. There is also a large increase in the area of the AlN + Al_2O_3 region accompanied by a large decrease in area of the AlN + Al_2O_3 + C region. A four-phase AlN + C + Al_2O_3 + Al_4C_3 region is also predicted at the bottom of the triangle. However, Al₂O₃ is the only solid phase predicted along the line joining 100 mol % Al₂O₃ and 100 mol % NH₃. No AlN is predicted to form along this line at 2000 K.

The conversion diagram for the reaction temperature of 1500 K is shown in Figure 4. No pure AlN region is predicted at 1500 K and 1.0 atm total system pressure.

A decrease in pressure while maintaining the temperature at 1800 K results in an increase in area of the AlN conversion region (Figure 5). Reagent composition for the complete conversion of Al₂O₃ into pure AlN now includes 0-19 mol % Al₂O₃, 45-96 mol % NH₃, and 5-55 mol % CH₄. There is also an increase in the areas of the twophase regions of AlN + C and AlN + Al_2O_3 accompanied

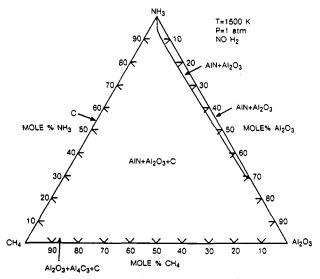


Figure 4. No pure AlN phase region is predicted from only thermodynamic considerations alone at 1500 K and 1.0 atm.

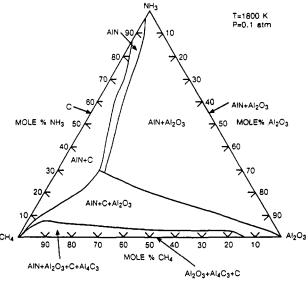


Figure 5. Decreasing the total system pressure to 0.1 atm while maintaining the temperature at 1800 K results in an increase in the area of the pure AlN phase region and the appearance of a four-phase region (AlN, C, Al₂O₃, and Al₄C₃) at the bottom of the diagram.

by a decrease in the area of the three-phase (AlN + C +Al₂O₃) region. The boundary between the two-phase and three-phase regions is pushed down toward the base of the triangle.

Low total system pressure also results in the introduction of a four-phase region marked AlN + C + Al_2O_3 + Al_4C_3 at the bottom of the triangle. Thus at low NH_3 concentrations, the reduced alumina combines with carbon to form Al₄C₃. The latter is stable at low pressure. The solid phases predicted along the lines joining the three corners of the triangle remain the same, namely, C along the line joining 100 mol % NH₃ and 100 mol % CH₄, AlN, and Al_2O_3 along the line joining 100 mol % Al_2O_3 and 100 mol % NH_3 and $C + Al_2O_3 + Al_4C_3$ along the line joining 100 mol % CH₄ and 100 mol % Al₂O₃.

The effect resulting from the reduction in the system pressure becomes more pronounced at higher temperature. Thermodynamic calculations predict that increasing the temperature to 2000 K at low pressure further increases the area for complete conversion to AlN (Figure 6). This area is larger than those at 1800 K under 1.0 atm as well

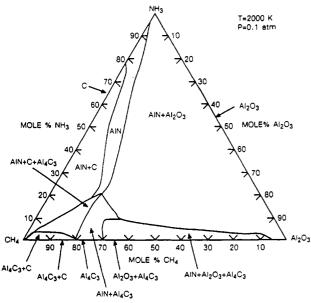


Figure 6. Effect of reduced system pressure (0.1 atm) becomes even more pronounced at higher temperature (2000 K). The area of the pure AlN region increases further. The three-phase (AlN, C, Al_2O_3) region is absent and various other two- and three-phase regions (AlN, C, Al_2O_3 and Al_4C_3) begin to develop.

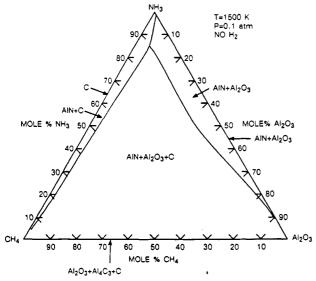


Figure 7. Low-temperature (1500 K) and low-pressure (0.1 atm) do not favor the formation of pure AlN phase. The major portion of the triangle consists of the three-phase AlN–C–Al $_2$ O $_3$ region.

as 0.1 atm. The area of the AlN + Al_2O_3 region is also substantially increased. In addition the AlN + Al_2O_3 + C region is absent. Instead various two- and three-phase regions consisting of various combinations of AlN, C, Al_2O_3 , and Al_4C_3 phases (but not AlN + Al_2O_3 + C) are predicted. In contrast to the conversion diagram at 1800 K and 0.1 atm, no four-phase region (such as AlN + C + Al_2O_3 + Al_4C_3) is predicted.

The only solid phase predicted along the line joining $100 \text{ mol } \% \text{ NH}_3$ and $100 \text{ mol } \% \text{ CH}_4$ is still C. Note that Al_2O_3 is the only solid phase predicted along the line joining $100 \text{ mol } \% \text{ Al}_2\text{O}_3$ and $100 \text{ mol } \% \text{ NH}_3$. No AlN phase is predicted along this entire line. Different phases are predicted along the line joining $100 \text{ mol } \% \text{ CH}_4$ and $100 \text{ mol } \% \text{ Al}_2\text{O}_3$.

At a reaction temperature of 1500 K, no pure AlN is formed at a total system pressure of 0.1 atm. The major portion of the conversion diagram consists of the AlN-C-Al₂O₃ region (Figure 7).

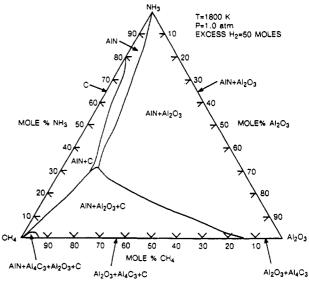


Figure 8. Thermodynamic calculations predict that introduction of excess hydrogen (50 mol) at 1800 K and 1.0 atm total system pressure results in an increase in the area of the pure AlN region.

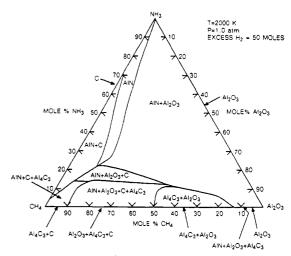


Figure 9. Effect of introduction of excess hydrogen (50 mol) on the formation of pure AlN phase is even more prominent at higher temperature (2000 K, 1 atm pressure). The area of the pure AlN phase region is increased and various two-, three-, and four-phase regions are formed.

Figure 8 is the conversion diagram obtained when hydrogen is added to the system at 1800 K and 1 atm. The area of the one-phase AlN region increases, accompanied by a decrease in the areas of the AlN + C and AlN + C + Al₂O₃ regions. In fact, no deposition of C occurs in the AlN + Al₂O₃ region until a minimum of 15 mol % of CH₄ is reached. A small four-phase region marked C + Al₂O₃ + Al₄C₃ + AlN is predicted at high mol % of CH₄ (>95 mol %) and low Al₂O₃ and NH₃ concentrations (left-hand corner of the triangle). An increase in the area of the AlN + Al₂O₃ region is also noted. Other changes observed include the introduction of several phase regions along the line joining 100 mol % CH₄ and 100 mol % Al₂O₃.

Again, C is still the only solid phase predicted along the line joining 100 mol % NH_3 and 100 mol % CH_4 , AlN and Al_2O_3 are predicted along the line joining 100 mol % Al_2O_3 while different phases are predicted along the line joining 100 mol % CH_4 and 100 mol % Al_2O_3 .

Increasing the reaction temperature to 2000 K in the presence of excess hydrogen at 1.0 atm results in a further increase in areas of the AlN and AlN + Al_2O_3 regions over those at 1800 K. This is shown in Figure 9. The area of

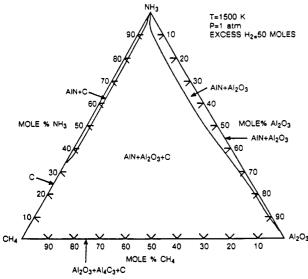


Figure 10. At low temperature (1500 K, 1 atm), the introduction of excess hydrogen (50 mol) has no effect in favoring the formation of a pure AlN phase region. No pure AlN phase region is predicted from only thermodynamic considerations.

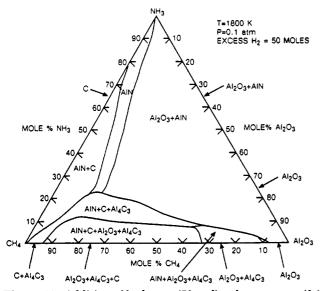


Figure 11. Addition of hydrogen (50 mol) at low pressure (0.1 atm total system pressure) and 1800 K increases the area of the AlN region while the areas of the other regions decrease.

the AlN + Al_2O_3 + C region is substantially decreased. The conversion diagram becomes more complicated with the introduction of various different two-, three-, and four-phase regions consisting of combinations of AlN, C, Al_2O_3 , and Al_4C_3 phases. The areas of the AlN, AlN + C, and AlN + Al₂O₃ regions are very similar to those of the conversion diagram for 1800 K and at 0.1 atm with excess hydrogen present.

The only solid phase predicted along the line joining 100 mol % NH₃ and 100 mol % CH₄ is again C. Al₂O₃ is the only solid phase predicted along the line joining 100 mol % Al₂O₃ and 100 mol % NH₃ while different phases are predicted along the line joining 100 mol % CH₄ and 100 $mol \% Al_2O_3$.

However, at a temperature of 1500 K, the introduction of excess hydrogen (50 mol) at a total system pressure of 1.0 atm does not favor the formation of pure AlN (Figure 10).

The conversion diagram obtained for a decrease in the total pressure of the system to 0.1 atm in the presence of excess hydrogen at 1800 K is shown in Figure 11. Again

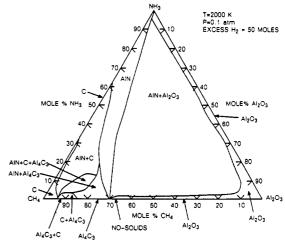


Figure 12. Addition of hydrogen (50 mol) at high temperature (2000 K) and a low system pressure of 0.1 atm further results in an increase in the area of the AlN region.

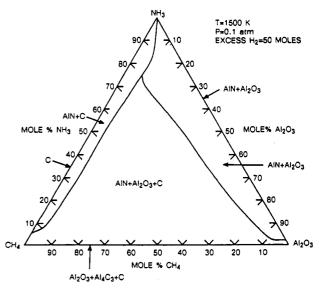


Figure 13. Addition of hydrogen (50 mol) and low system pressure (0.1 atm) have no effect in favoring the formation of a pure AlN phase region at low temperature (1500 K). No pure AlN phase region is predicted by thermodynamic considerations

there is an increase in the area of the pure AlN phase region. In fact, for all the cases considered so far, thermodynamic calculations predict that low pressure together with an excess of hydrogen results in the largest area of the region for complete conversion of Al₂O₃ to AlN at 1800 K. The other regions, namely, AlN + C, AlN + Al₂O₃, and $AlN + C + Al_2O_3$ regions, decrease in area. In addition, two more regions are predicted, a four-phase AlN + C + Al₂O₃ + Al₄C₃ region and a three-phase AlN + Al₂O₃ + Al₄C₃ region is found at the bottom of the triangle.

At 1800 K, at 0.1 atm, and in the presence of excess hydrogen, C is still the only solid phase predicted along the line joining 100 mol % NH₃ and 100 mol % CH₄ while different phases are predicted along the line joining 100 mol % CH₄ and 100 mol % Al₂O₃. AlN and Al₂O₃ phases are predicted along the line joining 100 mol % Al₂O₃ and 100 mol % NH $_3$ up to 64 mol % Al $_2$ O $_3$. At higher mol % Al_2O_3 the only solid phase predicted along this line is Al_2O_3 .

The conversion diagram of Figure 12 shows that a combination of high temperature (2000 K), low system pressure (0.1 atm), and excess hydrogen (50 mol) results in a significant increase in the area of the pure AlN region. In contrast, at a low reaction temperature (1500 K) while

Table II. Conversion/Deposition Efficiencies for Aluminum, Nitrogen, and Carbon as a Function of Methane to Aluminum
Oxide and Ammonia to Aluminum Oxide Molar Ratios

CH ₄ :Al ₂ O ₃	Al conv eff		N conv eff		C dep eff	
molar ratio	$\overline{NH_3:Al_2O_3} = 8$	$NH_3:Al_2O_3 = 2$	$\overline{NH_3:Al_2O_3} = 8$	$NH_3:Al_2O_3 = 2$	$\overline{NH_3:Al_2O_3} = 8$	$NH_3:Al_2O_3 = 2$
1	0.33	0.25	0.07	0.20	0.00	0.00
3	1.00	0.81	0.20	0.67	0.00	0.00
9	1.00	1.00	0.20	0.80	0.67	0.54

maintaining the other reaction conditions (low pressure and excess hydrogen), no pure AlN is formed (Figure 13).

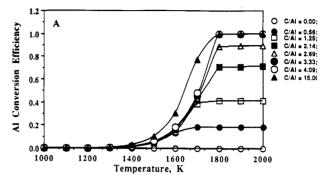
(B) Conversion Efficiencies. In this work, conversion efficiency is defined as the fraction of reagent that is converted to AlN. For example, if 0.2 mol of AlN is formed for every 1.0 mol of NH₃ introduced into the reaction system, the conversion efficiency of NH₃ into AlN is 0.2. Similarly, we define deposition efficiency for CH₄ as the fraction of CH₄ that is deposited as solid graphite. A knowledge of C deposition efficiency will help in determining the experimental conditions that will not facilitate the deposition of C and hence eliminate the need to burn off the C deposited during the conversion process. Thermodynamic data at 1500 K were used in all calculations involving conversion/deposition efficiencies over the temperature range of interest (1000–2000 K).

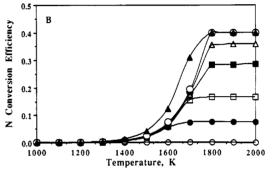
Parts A and B of Figure 14 summarize the conversion efficiency for Al and N, respectively, over the temperature range 1000–2000 K. The NH $_3/Al_2O_3$ molar ratio is fixed at 4, and the plots were determined as a function of temperature and CH $_4/Al_2O_3$ molar ratios. The shape of the plots for Al and N conversion efficiencies are identical but they differ in the conversion efficiency values for a particular temperature and CH $_4/Al_2O_3$ molar ratio. Table II compares the Al and N conversion efficiencies for NH $_3/Al_2O_3$ molar ratios of 8 and 2 with respect to CH $_4/Al_2O_3$ molar ratios.

It can be seen from Table II and Figure 14A,B that an increase in CH_4/Al_2O_3 molar ratio causes an initial increase in the Al and N conversion efficiencies up to a CH_4/Al_2O_3 molar ratio of 3. Higher CH_4/Al_2O_3 molar ratios (>3) do not increase the Al and N conversion ratios much further even with increasing NH_3/Al_2O_3 ratios. For a fixed NH_3/Al_2O_3 ratio, a change in CH_4/Al_2O_3 molar ratio does not significantly alter the Al and N conversion efficiencies at low reaction temperatures, but as the reaction temperature increases above 1700 K, an increase in CH_4/Al_2O_3 molar ratio increases the Al and N conversion efficiencies considerably.

Figure 14C summarizes the thermodynamic predictions for the C deposition efficiency for the same reactant molar ratios as those of Figure 14A.B and over the temperature range 1000-2000 K. The C deposition efficiency increases initially to a maximum at about 1200 K for all CH₄/Al₂O₃ molar ratios. It then levels off at a deposition efficiency of around 0.9-0.95 until a temperature of 1500 K is reached. Above 1500 K the deposition efficiency decreases to a certain minimum value at 1800 K and levels off even at higher temperatures. This minimum value can be as low as 0 for CH₄/Al₂O₃ molar ratio ≤2.69 but increases with increasing CH₄/Al₂O₃ molar ratio such that, at a CH₄/Al₂O₃ molar ratio of 15.0, the C deposition efficiency levels off to a minimum value of 0.78. Thus, judging from the results of Figure 14, it is advantageous to employ low CH_4/Al_2O_3 molar ratios and a reaction temperature ≥ 1800 K as less C deposition occurs with maximum Al and N conversion efficiencies.

Table III summarizes the $\rm NH_3/Al_2O_3$ molar ratios and the corresponding $\rm CH_4/Al_2O_3$ molar ratio in order to achieve on Al conversion efficiency of 1.0 at 1800 K. Maximum Al and N conversion efficiencies occur at lower





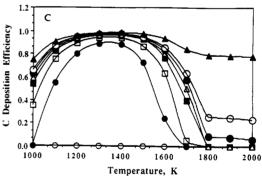
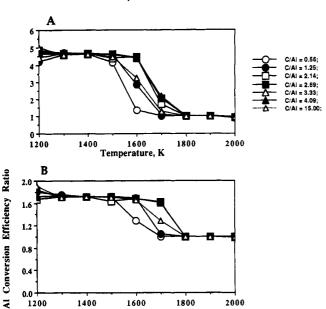


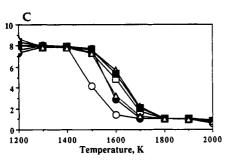
Figure 14. (A) Aluminum conversion efficiency as a function of temperature. The Al conversion efficiency increases with temperature until a maximum is reached around 1850 K. Each curve represents the conversion efficiency response of a specific $\mathrm{CH_4/Al_2O_3}$ ratio. (B) Nitrogen conversion efficiency as a function of temperature. The N conversion efficiency also increases with temperature until a maximum is reached around 1850 K. (C) Carbon deposition efficiency as a function of temperature. The C deposition efficiency is high at low temperatures and falls off at higher temperature range (>1500 K). Increasing the $\mathrm{CH_4/Al_2O_3}$ ratio favors the deposition efficiency.

Table III. Methane to Aluminum Oxide Ratio for Aluminum Conversion Efficiency of Ammonia to Aluminum Oxide Molar Ratio

NH ₃ :Al ₂ O ₃ molar ratio	CH ₄ :Al ₂ O ₃ molar ratio for Al conv Eff of 1.0	NH3:Al2O3 molar ratio	CH ₄ :Al ₂ O ₃ molar ratio for Al conv Eff of 1.0	
2	.90	6	3.8	
4	4.1	8	3.0	

 ${\rm CH_4/Al_2O_3}$ molar ratio with increase in ${\rm NH_3/Al_2O_3}$ molar ratios. Higher temperatures are required to attain maximum Al and N conversion efficiencies at low ${\rm NH_3/Al_2O_3}$ molar ratios.





1600

Temperature, K

1800

200€

1200

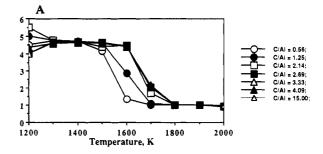
1400

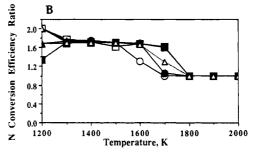
Figure 15. Effects of low pressure (0.1 atm) (A), addition of excess hydrogen (50 mol) (B), and a combination of low pressure (0.1 atm) and excess hydrogen (50 mol) (C) on the Al conversion efficiency ratio. Each curve represents the conversion efficiency ratio response for a specific CH₄/Al₂O₃ ratio as indicated in the figure. Note the differences in the y-axis scale for each figure.

(1) Effect of Low Pressure and Excess Hydrogen on Al and N Conversion Efficiencies. Figures 15 and 16 compare the effects of low pressure and addition of hydrogen on the Al and N conversion efficiency ratios, respectively. The conversion/deposition efficiency ratio for a specific temperature is defined as the ratio of the conversion efficiency for a particular set of conditions (pressure and/or introduction of excess hydrogen) to the conversion/deposition efficiency obtained at 1.0 atm pressure at that temperature with no excess hydrogen introduced into the system.

A general trend observed in both Figures 15 and 16 is that the Al and N conversion efficiency ratios are higher than 1.0 for temperatures lower than 1700 K for all CH₄/Al₂O₃ molar ratios. In other words, there is better conversion of NH₃ and Al₂O₃ into AlN at lower pressure and/or with the introduction of excess hydrogen for the temperature range between 1200 and 1700 K. Above 1700 K the ratio is about 1.0. Thus at high temperatures (>1700 K), decreasing the pressure and/or introducing excess hydrogen into the system does not increase the conversion efficiencies. Comparison of Figures 15 and 16 reveals that the trends in the Al conversion efficiency ratio are identical to those of the N conversion efficiency ratio, with only a slight difference in the value of the ratio at 1200 K.

A closer examination of Figures 15 and 16 reveals the following. For all CH₄/Al₂O₃ ratios, decreasing the total pressure of the system to 0.1 atm affects the Al and N conversion efficiencies in the following manner (Figures 15A and 16A):





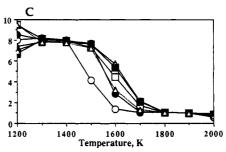
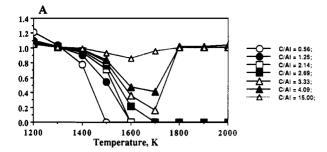


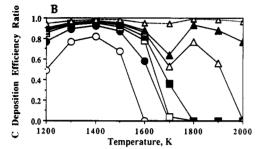
Figure 16. Effects of low pressure (0.1 atm) (A), addition of excess hydrogen (50 mol) (B), and a combination of low pressure (0.1 atm) and excess hydrogen (50 mol) (C) on the N conversion efficiency ratio. Each curve represents the conversion efficiency ratio response for a specific CH₄/Al₂O₃ ratio as indicated in the figure. Note the differences in the y-axis scale for each figure.

- (a) Between 1200 and 1500 K, the Al and N conversion efficiency ratios at $P_{\text{tot}} = 0.1$ atm were 4-6 times that at $P_{\text{tot}} = 1.0 \text{ atm.}$
- (b) Between 1500 and 1700 K, Al and N conversion efficiency ratios decrease rapidly.
- (c) At temperatures ≥ 1800 K, the ratio is approximately 1.0 (0.9-1.0).

Addition of excess hydrogen (50 mol) into the Al_2O_3 + CH₄ + NH₃ system (Figures 15B and 16B) leads to Al and N conversion efficiency ratios >1.0 for reaction temperatures between 1200 and 1700 K for all CH₄/Al₂O₃ ratios. However, the maxima in Al and N conversion efficiency ratios for the case in which excess hydrogen is present are not as large as that when the P_{tot} was decreased to 0.1 atm. (The Al and N conversion efficiency ratio maxima are no more than a factor of 2.0. In most cases the ratio increased only up to 1.7 as compared to 4-6 in the case of decreasing the total pressure to 0.1 atm.). In other words, a decrease in Ptot is more effective in enhancing the conversion of Al₂O₃ to AlN than the introduction of hydrogen into the system. The ratios decrease rapidly at temperatures above 1500 K to a value of 1 (± 0.5) at 1800 K. There is no enhanced Al or N conversion efficiency above a reaction temperature of 1800 K, i.e., the ratio of the Al and N conversion efficiencies with and without the addition of hydrogen remained at 1.0 for all CH₄/Al₂O₃ ratios.

Thermodynamic calculations predict that for a total system pressure of 0.1 atm in the presence of excess hydrogen (Figures 15C and 16C), the maxima of the Al and N conversion efficiency ratios are even much higher than





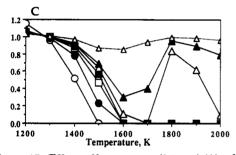


Figure 17. Effects of low pressure (0.1 atm) (A), addition of excess hydrogen (50 mol) (B), and a combination of low pressure (0.1 atm) and excess hydrogen (50 mol) (C) on the C deposition efficiency ratio. Each curve represents the conversion efficiency ratio response for a specific CH₄/Al₂O₃ ratio as indicated in the

those obtained at low pressure alone (around 8 as compared to around 4.5 in the case of low pressure alone). However, the ratios decrease rapidly to approximately 1.0 (± 0.5) above 1500 K.

(2) Effect of Low Pressure and Excess Hydrogen on C Deposition Efficiencies. Figure 17 shows the plots for the C deposition efficiency ratios at low pressure ($P_{\text{tot}} = 0.1$ atm) and/or in the presence of excess hydrogen. At low pressure ($P_{\text{tot}} = 0.1$ atm, Figure 16A), the C deposition efficiency ratio is greater than 1.0 at 1200 K but decreases rapidly to a minimum value at 1700 K. This minimum C deposition efficiency ratio value at 1700 K increases with increasing CH₄/Al₂O₃ molar ratios. This increase in the minimum C efficiency value with increase in CH₄/Al₂O₃ molar ratios is expected as an excess of CH4 beyond the CH₄ concentration required to serve as the reducing agent would lead to decomposition of CH4 to C at high temperature. The temperature at which the minimum C deposition efficiency ratio is attained decreases with increasing CH₄/Al₂O₃ molar ratios such that at a CH₄/Al₂O₃ molar ratio of 15.00, the minimum value is obtained at 1600 K. For low CH_4/Al_2O_3 molar ratio (≤ 2.69), low pressure prevents C deposition and the ratio falls to 0 at 1800 K and continues to do so even at higher temperatures. For CH_4/Al_2O_3 molar ratios \geq 2.69, the C deposition ratio increases back to a value of 1.0.

A closer look at the C deposition efficiency ratio for a system pressure of 0.1 atm reveals the following:

(a) For low CH₄/Al₂O₃ ratios (0.47-3.00), deposition ratios decrease with increasing reaction temperatures. For

these CH₄/Al₂O₃ molar ratios, the deposition ratio is <1.0 for temperatures ≥ 1400 K, i.e., between reaction temperatures of 1200 and 1400 K, more C is deposited at $P_{\rm tot}$ = 0.1 atm than at P_{tot} = 1.0 atm.

- (b) For higher CH₄/Al₂O₃ molar ratios (>3.0), deposition efficiency ratios decrease with increasing reaction temperatures, falling below a ratio of 1.0 at temperatures ≥1400 K but increases back up to 1.0 at temperatures ≥1800 K (for intermediate CH₄/Al₂O₃ molar ratios (between 3.00-8.99)) or at temperatures ≥1700 K (for high CH_4/Al_2O_3 ratios (≥ 8.99)).
- (c) Decreasing the P_{tot} to 0.1 atm does not alter the maximum N conversion efficiency from that at $P_{\text{tot}} = 1.0$ atm at temperatures ≥ 1800 K for all CH₄/Al₂O₃ molar ratios (see Figures 15A and 16A). The maximum N conversion efficiency ratio still remains at 1.2 for a maximum Al conversion efficiency of 1.0. However, the C deposition efficiency ratio is 0 (i.e., no C is deposited) for temperatures \geq 1800 K for CH₄/Al₂O₃ molar ratios \leq 2.7. This means that low pressures favor the conversion of Al₂O₃ to AlN and retards C deposition for CH_4/Al_2O_3 molar ratios ≤ 2.7 .
- (d) Decreasing the P_{tot} to 0.1 atm decreases the reaction temperature at which Al and N conversion efficiency ratios are > 1.0, while at the same time C deposition is retarded. The reaction temperature can be decreased from 1800 to 1600 K. The CH₄/Al₂O₃ molar ratio at which lower reaction temperature may be used is 3.00 at both pressures considered. However, though the conversion efficiency ratios are increased, the absolute value for the Al and N conversion efficiencies decrease rapidly as the reaction temperature decreases and are low at temperatures below 1800 K (see Figures 14A,B).
- (c) Similar thermodynamic calculations for various NH₃/Al₂O₃ molar ratios show that the effects observed at $P_{\text{tot}} = 0.1$ atm are independent of the NH₃/Al₂O₃ molar ratio, i.e., changing the NH₃/Al₂O₃ ratio did not alter the trends observed as described above.

Introducing excess hydrogen into the system results in C deposition efficiency ratios <1.0 for all CH₄/Al₂O₃ molar ratios considered within the temperature range 1200-2000 K (Figure 17B). There is an increase in C deposition efficiency ratio with increasing temperature from 1200 K up to a maximum at 1400 K, followed by a decrease in C deposition for reaction temperatures > 1400 K. A minimum value is achieved at 1700 K and for CH₄/Al₂O₃ molar ratios > 3.0; the decrease in C deposition is followed by another increase in C deposition and peak maximum at 1800 K. This is followed by another decrease in C deposition at higher temperatures. Addition of hydrogen into the system decreases the reaction temperature to 1700 K (from 1800 K) for an Al conversion efficiency ratio of > 1.0 and a C deposition efficiency of 0. Thus pure AlN may be formed at lower temperatures with the introduction of excess hydrogen into the system.

Figure 17C shows a plot for the C deposition efficiency ratio for a total system pressure of 0.1 atm in the presence of excess hydrogen with respect to reaction temperature for several CH₄/Al₂O₃ molar ratios. Again, the ratio is less than 1.0 for the temperature range 1350-1800 K. The shape of the plots resembles those of Figure 17A for the temperature range 1200-1800 K, while for temperatures higher than 1800 K the trends resemble those of Figure 17B. Since, for low temperatures (1300-1700 K), Al and N conversion efficiency ratios are higher than either low system pressure or introduction of excess hydrogen alone, it would seem advantageous to carry out the conversion at low pressure in the presence of excess hydrogen in the system. However, the absolute Al and N conversion efficiencies drop rapidly below temperatures of 1800 K. Thus low AlN yields are obtained at low temperatures even though higher conversion efficiency ratios may be obtained at low pressures and in the presence of excess hydrogen.

Conclusions

Computerized thermodynamic analysis of the Al-N-C-H system indicates that pure AlN can be prepared by thermal nitridation of Al₂O₃ using ammonia and methane. Complete conversion of Al₂O₃ to AlN occurs at 1800 K. The reagent concentration ranges within which pure AlN is formed increase with increasing reaction temperature as well as at low pressure in the presence of excess

hydrogen. Low pressure together with an excess of hydrogen decreases the reaction temperature at which pure AlN is formed. Low pressure together with the introduction of excess hydrogen into the reaction system increases Al and N conversion efficiency and retards C deposition.

Acknowledgment. We thank Dr. Woo Lee of United Technologies Research Center for helpful discussions. This research has been supported by the State of Connecticut, Department of Higher Education, High Technology Grant Number 42.

Registry No. Al_2O_3 , 1344-28-1; NH_3 , 7664-41-7; CH_4 , 74-82-8; AlN, 24304-00-5.

Ionic Conductivities in Solid Solutions of K_{5+x}Sb_{5-x}Ti_xP₂O₂₀ and $K_{5-x}Sb_{5-x}M_xP_2O_{20}$ (M = Mo^{VI}, W^{VI})

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Solid solutions of $K_{5+x}Sb_{5-x}Ti_xP_2O_{20}$ ($0 \le x \le 0.2$) and $K_{5-x}Sb_{5-x}M_xP_2O_{20}$ ($M = Mo^{VI}$ and W^{VI} , $0 \le x \le 0.15$) were prepared by solid-state reaction. Ionic conductivity was investigated from 200 to 500 °C by ac complex impedance measurement. The ionic conductivity of the Ti-substituted phase increases with increasing x to the limit of substitution (x = 0.2). This increase of conductivity is attributed to a larger bottleneck and an increase in the K⁺ concentration due to the Ti substitution. In the Mo/W-substituted phases, the conductivity increases with increasing x to the limit of substitution (x = 0.15) due to an increasing K⁺ vacancy concentration.

Introduction

Recently, a series of potassium phosphatoantimonates have been reported by Piffard et al. 1-5 The basic building units of these compounds are SbO₆ octahedra and PO₄ tetrahedra with one-, two-, and three-dimensional structures (1D, 2D, and 3D). Some of the potassium phosphatoantimonates also have good ion-exchange properties.6-8

Recently, the ionic conductivities of potassium phosphatoantimonates have been studied in our laboratory. 9,10 Of all the potassium phosphatoantimonates studied, K₅Sb₅P₂O₂₀ has been found to exhibit the highest ionic conductivity.9 K₅Sb₅P₂O₂₀ has a framework structure in which SbO₆ octahedra share both corners and edges and are also linked to PO₄ tetrahedra via corners. The high ionic conductivity of $K_5Sb_5P_2O_{20}$ compared to the other potassium phosphatoantimonate compounds with different structures is attributed to the skeleton structure (Figure 1) with 3D interconnected, large and mostly vacant tunnels that facilitate the motion of K^+ ions. However, the small bottleneck in this structure limits the fast motion of K+ ions. It was shown that the conductivity of this phase can be improved by ion exchange of K⁺ with smaller cations such as Li⁺ and Na⁺ or partial substitution of Nb^V or Ta^V for SbV to enlarge the bottleneck for K+ ion motion.10

In this work we investigated the effects of aliovalent substitution of antimony on the ionic conductivity of $K_5 \mathrm{Sb}_5 \mathrm{P}_2 \mathrm{O}_{20}$ by replacing some of the Sb^{V} with $\mathrm{Ti}^{\mathrm{IV}}$, $\mathrm{Mo}^{\mathrm{VI}}$, and W^{VI} ions. Our objective here was 3-fold:

Table I. Effective Ionic Radii and Electronegatives of Relevant Ions

ic	on ^a	r^{11} , Å	χ^{12}	
	o ^V	0.74	1.763	
T	i^{IV}	0.75	1.577	
M	[o ^{VI}	0.73	2.025	
W	rVI	0.74	2.132	

^aCN: 6.

(1) To study the effect of changes in the K⁺ ion concentration and K+ ion vacancy concentration. When tetravalent titanium ions or hexavalent molybdenum or tungsten ions are substituted for pentavalent antimony ions, one expects K⁺ ion concentration and K⁺ ion vacancy concentration to increase respectively, with concomitant

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